



Peter C. Hildreth
Bank Commissioner

State of New Hampshire

Banking Department

64B Old Suncook Rd
Concord, NH 03301

Telephone: (603) 271-3561

Fax: (603) 271-0750

www.state.nh.us/banking

FORM 398-A-3

NOTICE FILING FOR SECOND MORTGAGE

HOME LOAN BROKER OR HOME LOAN LENDER LICENSE

To qualify to use this form and obtain a second mortgage home loan lender license or a second mortgage broker license by notice filing, an applicant must hold a valid corresponding NH first mortgage license. An applicant must hold a valid NH first mortgage broker license to file this notice to obtain a second mortgage broker license; its business will be limited to brokering activity for second mortgage loans. An applicant must hold a valid NH first mortgage banker or a banker/broker license to file this notice to obtain a second mortgage home loan lender license; it will be authorized for brokering and banking activities for second mortgage loans.

FOR OFFICE USE ONLY

Ck. # _____

Amt. \$ _____

Rec'd by _____ Date _____

Entered By _____ Date _____

App. Complete _____ Date _____

Approved By _____ Date _____

Pr. Lic. # _____ Date Mailed _____

Application Fees

License type applied for, check one:

☐ Second Mortgage Home Loan Lender Principal Office (\$250)

☐ Second Mortgage Broker Principal Office (\$250)

Each branch office of the applicant located in New Hampshire must be licensed to conduct second mortgage loan activity.

Enter the number of NH branch offices: _____ NH Branch Offices (\$250 per Office)

Make Check(s) Payable To: "STATE OF NEW HAMPSHIRE"

Complete all items, sign and notarize the affirmation.

Date of this filing: _____, 200__

NAME AND IDENTIFICATION OF APPLICANT OR LICENSEE

1. Legal name of applicant: _____

Trade name, if any: _____

2. Address of applicant: _____
(Principal Office \$250) (Street) (City) (State) (Zip)

Mailing address, if different: _____
(Street or PO Box) (City) (State) (Zip)

3. Communications: _____
(Tel. no.) (Fax no.) (Cell) (e-mail address)

4. Applicant's federal tax ID number: _____ Applicant's fiscal year end date _____

5. To qualify for a second mortgage broker license, enter the applicant's current NH first mortgage broker license number: _____; OR

To qualify for a second mortgage home loan lender license, enter the applicant's current NH first mortgage banker or first mortgage banker/broker license number: _____.

6. Additional offices located in New Hampshire where second mortgage loans will be originated, made or brokered must be licensed as branches in order to conduct second mortgage business (attach an additional sheet if necessary; enclose \$250 per branch location):

Street Address	City/Town	Manager	Telephone	Fax	1 st Mortg. Branch Lic.No.

AFFIRMATION

I subscribe and affirm, under penalty of perjury, that the statements made in this notice filing have been examined by me and to the best of my knowledge and belief are true, correct and complete, and that I am duly authorized to execute this affirmation. I further affirm that I have reviewed the applicant's records and that all documents on file with the New Hampshire Banking Department, in connection with the applicant's first mortgage license, are true and accurate as of this date.

I acknowledge on behalf of the applicant that the applicant's business, if licensed, will be operated in accordance with the New Hampshire Revised Statutes Annotated and rules of the New Hampshire Banking Department, and further acknowledge that the New Hampshire Banking Department is authorized to conduct examinations of the business affairs and records of the applicant's licensed business at any time with or without notice, and that all books, papers, files, related material, and records of assets, whether electronically stored or otherwise, shall be subject to the Department's examination.

Date: _____

For _____
(Print or type Applicant's or Licensee's name)

By _____
(Print or type name of the authorized signatory)

Signature _____

Title _____

CORPORATE ACKNOWLEDGMENT

State or Province of _____ }
County of _____ } ss.

On this _____ day of _____, 20 _____ before me _____,
(Print name of Notary/J.P.)
the undersigned officer, personally appeared _____
(Print name of corporate officer signing this document)
known personally to me to be the _____ of the above named corporation and
(Title of officer)

acknowledged that he or she, as an officer being authorized so to do, executed the foregoing instrument
for the purposes therein contained, by signing the name of the corporation by himself or herself as an officer.

IN WITNESS WHEREOF I have hereunto set my hand and official seal.

(SEAL)

Notary Public/J.P. Signature

My Commission Expires _____
(Date)

INDIVIDUAL OR PARTNERSHIP ACKNOWLEDGMENT

State or Province of _____ }
County of _____ } ss.

On this _____ day of _____, 20 _____, before me, _____,
(Print name of Notary/J.P.)

the undersigned officer, personally appeared _____ known to
(Print name of individual signing this document)

me personally and known to me to be the same person whose name is signed to the foregoing instrument,
and acknowledged the execution thereof for the uses and purposes therein set forth.

In WITNESS WHEREOF I have hereunto set my hand and official seal.

(SEAL)

Notary Public/J.P. Signature

My Commission Expires _____